

JAPAN CORPORATE COMPLIANCE AND GOVERNANCE FORUM

18 OCTOBER 2017 - Keio Plaza Hotel Tokyo

OVERVIEW

Fraud and corruption remain as the top business risks affecting organisation of all types and sizes. According to PWC's 2016 economic crime survey, a total of 24% global companies report having been victimised by bribery or corruption, which are costing organisations billions per annum, not forgetting the reputational damage it caused.

Asian Legal Business (ALB) is proud to present our annual **Japan Corporate Compliance and Governance Forum**, which will focus on managing the increased perception and pressure to combat fraud and corruption within and outside your organisation. This event will bring together key experts and thought leaders within the region to discuss the latest anti-corruption enforcement trends and challenges, new strategies in preventing, detecting and responding to fraud and corruption.

BENEFITS OF ATTENDING

- Shaping the right corporate culture by establishing the right code of ethics and code of conducts of a successful organisation
- Designing and implementing an effective corruption risk management
- Understanding the scope of key international legislation and ensuring your organisation is in compliance with it
- Building a robust corporate fraud and corruption control framework that complements organisational reputation and business objectives
- Managing corporate fraud and corruption risks by assessing the possible loopholes and closing the gaps effectively

CHAIRPERSON

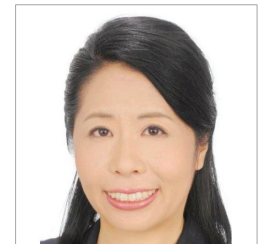
- **Aki Wakabayashi**, Chair, **Transparency International-Japan**

KEYNOTE SPEAKERS

- **Dr. Ikuo Misumi**, Deputy Director-General, **National Center of Incident Readiness and Strategy for Cybersecurity (NISC)**
- **Mari Sonoda**, Secretary-General, Secretariat, **Personal Information Protection Commission (PPC)**

KEY SPEAKERS

- **Ben Fouracre**, Managing Director and Japan Representative, **FTI Consulting**
- **David Gilmore**, Managing Partner, **Herbert Smith Freehills**
- **Elaine Wong**, Partner, **Herbert Smith Freehills**
- **Chris Bailey**, Partner, **King & Spalding**
- **James E. Hough**, Partner, **Morrison & Foerster LLP**
- **Juliet Lui**, Head of Professional Services, Asia Pacific, **Red Flag Group**
- **Tadashi Takeuchi**, Country Counsel, **Hewlett-Packard Japan Inc.**
- **Gopal Ohri**, Assistant General Manager, Legal and Compliance, **Sojitz Corporation**
- **Ichiro Ashihara**, General Counsel, **Zurich Insurance Company Ltd, Japan**
- **Naoki Watanabe**, Deputy Chief Legal Officer, **Sojitz Corporation**
- **Satoshi Sato**, Director, Global Compliance, **Sony Mobile Communications Japan Inc.**
- **Kaori Miyake**, Corporate Counsel, **Johnson Controls K.K.**
- **Takayuki Kitajima**, General Counsel – Japan and Representative Director, **Unilever Japan Holdings K.K.**



CHAIRPERSON
Aki Wakabayashi
Chair, **Transparency International-Japan**



KEYNOTE SPEAKER
Dr. Ikuo Misumi
Deputy Director-General, **National Center of Incident Readiness and Strategy for Cybersecurity (NISC)**



KEYNOTE SPEAKER
Mari Sonoda
Secretary-General, Secretariat, **Personal Information Protection Commission (PPC)**

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JAPAN CORPORATE COMPLIANCE AND GOVERNANCE FORUM

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8.30am

Registration and Refreshments

9.00am

Opening Remarks by Chairperson

Aki Wakabayashi, Chair, Transparency International-Japan

9.30am

Welcoming Keynote

Dr. Ikuo Misumi, Deputy Director-General, National Center of Incident Readiness and Strategy for Cybersecurity (NISC)

10.00am

Joint Presentation: Ensuring Compliance with Extraterritorial Anti-Corruption Investigations and Enforcement Under the FCPA/UK Anti-Bribery Act

- Recent developments in the UK, US and the Asian Region
- Takeaways from recent enforcement actions
- Dealing with extraterritorial investigations: Issues to be weary of

David Gilmore, Managing Partner, Herbert Smith Freehills

Elaine Wong, Partner, Herbert Smith Freehills

11.00am

Panel Discussion: Instilling Strong Internal Control Systems in Your Business Operations

- Creating enterprise-wide standards and response guidelines to fortify your compliance operations
- Performing comprehensive fraud/anti-corruption risk assessments
- Ensuring effective communication and inter-department collaboration
- Evaluating the design and operational effectiveness of corporate compliance programs

Moderator:

Chris Bailey, Partner, King & Spalding

Panelists:

Ben Fouracre, Managing Director and Japan Representative, FTI Consulting

Tadashi Takeuchi, Country Counsel, Hewlett-Packard Japan Inc.

Gopal Ohri, Assistant General Manager, Legal and Compliance, Sojitz Corporation
Ichiro Ashihara, General Counsel, Zurich Insurance Company Ltd, Japan

12.00pm

Networking Luncheon

1.00pm

Case Study Presentation: Internal Investigations in Japan: Lessons Learned Over the Past 10 Years

- The corruption/fraud risks
- Books and records/Internal control risks
- Antitrust compliance
- Data protection
- Privilege issues
- Best practices from internal investigation

James E. Hough, Partner, Morrison & Foerster LLP

1.45pm

Keynote Presentation: Cross-border Data Protection and Privacy Law

- Recent update and changes on Japan's Act on the Protection of Personal Information (APPI) and Privacy Law
- The new rules in Japan on cross-border data flows
- Cross-border data flows and the APEC cross-border privacy rules system
- Role of CBPR in Japan and its increasing importance in Asia Pacific region

Mari Sonoda, Secretary-General, Secretariat, Personal Information Protection Commission (PPC)

2.30pm

Refreshment Break

3.00pm

Presentation: How Can Legal and Compliance Enable Successful Business in Risky Countries?

- How can your role add value while doing business overseas?
- Tips for in-house counsels to be a trusted business partner
- Seizing the boardroom: What advice should in-house counsel bring in order

to influence your company activities effectively?

- Case study: How can you interpret M&A and JV Due Diligence in ways that offer solutions, not obstacles?
- Case study: What other techniques and skill sets required to be a successful next generation legal counsel?

Juliet Lui, Head of Professional Services, Asia Pacific, Red Flag Group

3.45pm

Panel Discussion: Strengthening Your Internal Controls Function through Effective Leadership Management and Business Partnering

- Setting the 'tone at the top' and ensuring institutional support for ethical and responsible business practices
- Develop collaborative relationships with stakeholders to foster a culture of high ethics and integrity within your organisation
- Regular interaction and communications to ensure all stakeholders are aligned with the control measures in place
- How to respond to scandals, misconduct risks of your organisation

Moderator:

Takayuki Kitajima, General Counsel – Japan and Representative Director, Unilever Japan Holdings K.K.

Panelists:

Naoki Watanabe, Deputy Chief Legal Officer, Sojitz Corporation

Satoshi Sato, Director, Global Compliance, Sony Mobile Communications Japan Inc.

Kaori Miyake, Corporate Counsel, Johnson Controls K.K.

4.30pm

Closing Remarks by Chairperson

4.40pm

End of event